



Dep't of ROOM 307  
Attorney Docket No. 20496/319

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner: George P. Wyszomierski

Group Art Unit: 1742

Application of	:	Hans-Jurgen LEISSNER, et al.
Serial No.	:	10/049,262
Filing Date	:	June 24, 2002
Entitled	:	PROCEDURE FOR HARDENING AT LEAST ONE SURFACE OF A WALL OF A COMPONENT AND DEVICE...

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

I hereby certify that this paper is being deposited this date with the U.S. Postal Service as first class mail addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450..

Juan C. Arias

Name of person signing the certification

*Juan C. Arias*  
Signature

December 28, 2004

Date

**REQUEST FOR REFUND**

Sir:

The undersigned respectfully request a refund in the amount of \$320.00 for the above-identified patent application. A Notice of Allowance and Fee(s) Due was mailed by the USPTO on July 15, 2004. Applicants paid the Issue Fee on October 11, 2004. As per the attached copy of the Issue Fee Transmittal, the total fees due were \$665.00 for the issue fee and \$0 for the publication fee. On October 15, 2004, Deposit Account 16-2500 was charged \$685.00 for the issue fee (\$20.00 more than noted on the Issue Fee Transmittal) plus an additional \$300.00 for the publication fee. Therefore, it is

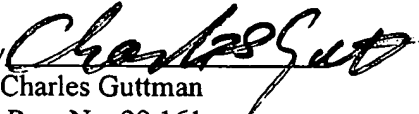
02 FC:1504

300.00 CR

respectfully requested that a refund of \$320.00 be credited to Deposit Account No. 16-2500, of the undersigned.

Respectfully submitted,

PROSKAUER ROSE LLP

By   
Charles Guttman  
Reg. No. 29,161

Date: December 28, 2004

PROSKAUER ROSE LLP  
1585 Broadway  
New York, New York 10036  
(212) 969-3000

encl. Deposit Account Statement for the Month of 10/2004 for Account No. 16-2500  
Copy of Issue Fee Transmittal file on 10/11/04.

## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 or Fax (703) 746-4000

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 3 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated, and processed below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

7590

07/15/2004

Charles Guttman  
 Proskauer Rose  
 1585 Broadway  
 New York, NY 10036

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

## Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (703) 746-4000, on the date indicated below.

Juan C. Arias (Depositor's name)  
 Juan C. Arias (Signature)  
 10/11/04 (Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/049,262	06/24/2002	Hans-Jurgen Leissner	20496-319	9428

TITLE OF INVENTION: PROCEDURE FOR HARDENING AT LEAST ONE SURFACE OF A WALL OF A COMPONENT AND DEVICE FOR ITS EXECUTION

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$665	\$0	\$665	10/15/2004

EXAMINER	ART UNIT	CLASS-SUBCLASS
WYSZOMIERSKI, GEORGE P	1742	143-570000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  
 (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. Proskauer Rose LLP

2. \_\_\_\_\_  
 3. \_\_\_\_\_

## 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

ELOTHERM GmbH

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Remscheid, Germany

Please check the appropriate assignee category or categories (will not be printed on the patent); ☐ individual ☒ corporation or other private group entity ☐ government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee  
☒ Publication Fee (No small entity discount permitted)  
☒ Advance Order - # of Copies 10

4b. Payment of Fee(s):

- ☐ A check in the amount of the fee(s) is enclosed.  
☐ Payment by credit card. Form PTO-2038 is attached.  
☒ The Director is hereby authorized to charge the required fee(s), or credit any overpayment, to Deposit Account Number 16-2500 (enclose an extra copy of this form).

5. Change in Entry Status (from status indicated above)

- ☒ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is not claiming SMALL ENTITY status. See, e.g., 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

(Authorized Signature)

(Date)

Charles Guttman (29,161)

10/11/04

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMIT THIS FORM WITH FEE(S)